

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sally Pucilowski

Serial No. : 10/036,486 /

Filed : 7 January 2002

For : INTERFERENCE FILTER HAVING A GLASS SUBSTRATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

CITED MATERIALS

Applicant(s) wish to disclose the information, listed in the attached form PTO-1449, copies of which are attached.

Copies of materials listed but not attached were cited in parent application Serial No. _____

Copies of materials listed but not attached were cited in an International Search Report dated _____; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed _____.

Copies of materials listed and attached were cited in a communication from a foreign patent office in a counterpart foreign application.

Also included are the pending U.S. applications listed below, copies of which are attached:

FEES

No fee is required for this Information Disclosure Statement because:

This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;

This Information Disclosure Statement is being filed before the mailing of a first action on the merits;

This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

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R. Tally

- A check is attached: This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in § 1.17(p).
- A check is attached: This Information Disclosure Statement is being filed after the mailing date of a notice of allowance under § 1.311 but before the payment of the issue fee and is accompanied by the fee set forth in § 1.17(p) and a certification below.

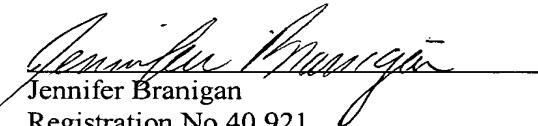
CERTIFICATION

- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

If appropriate, attached is a check in the amount of \$_____. However, the Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

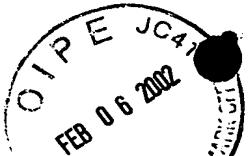
Respectfully submitted,



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Date: 6 February 2002
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Sheet 1 of 1

Form PTO-1449 <small>PATENT & TRADEMARK OFFICE</small>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DKT. NO. Sgt- 44	SERIAL NO. 10/036,486
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use several sheets if necessary)</small>					
		APPLICANT Sally Pucilowski et al.			
		FILING DATE 7 January 2002	GROUP		

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU	ABSTRACT ONLY:Parfenov AI, <i>Vestn. Leningr. Gos. Univ., Fiz. Khim.</i> , 1963, vol.4, No. 1, P. 126
	AV	ABSTRACT ONLY:Bacon JF., <i>NASA Contract Rep.</i> 1856, 1971
	AW	ABSTRACT ONLY:Alexsandrov VI., <i>Fizika i Khimiya Stekla</i> , 1997, vol. 3, No. 2, p.
	AX	Angel PW., <i>Journal of American Chemical Society.</i> , 75 (12) :3278-3282
	AY	
	AZ	

Examiner	Date Considered
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